

Please type a plus sign (+) inside this box → ☐

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/242,977		
		Filing Date	February 26, 1999		
		First Named Inventor	James M. Wilson et al		
		Group Art Unit	1632		
		Examiner Name	J. Martin R. Shukla		
Sheet	1	of	1	Attorney Docket Number	GNVPN.019BUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiners Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
RRS	CX	JAMES M. WILSON et al, "Method for Recombinant Adeno-Associated Virus-Directed Gene Therapy", U. S. Patent Application No. 09/757,673, filed January 10, 2001	
RRS	CY	JANE S. LEBKOWSKI et al, "Adeno-Associated Virus: a Vector System for Efficient Introduction and Integration of DNA into a Variety of Mammalian Cell Types", Molecular and Cellular Biology, 8(10):3988-3996 (October, 1988)	

Examiner Signature	RRS	Date Considered	11/19/01
-----------------------	-----	--------------------	----------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



TECH CENTER 1600/2900

SEP 18 2001

RECEIVED

Form PTO-1449 U.S. Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT	Atty. Docket No. GNVPN.019BUSA	Appln. No. 09/242,977
	Applicant: James M. Wilson et al	
	Filing Date: 2/26/99	Group Art Unit: 1632

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
RRS	AE	5,252,479	10/12/93	Srivastava	435	235.1
↓	AF	5,354,678	10/11/94	Lebkowski	435	172.3
↓	AG	5,436,146	7/25/95	Shenk	435	172.3
RRS	AH	5,474,935	12/12/95	Chatterjee	435	320.1

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation Yes No
RRS	AP	WO95/23867	9/8/95	PCT	_____
↑	AQ	WO95/20671	8/3/95	PCT	_____
↓	AAL	WO95/14771	6/1/95	PCT	_____
RRS	AAM	WO95/13392	5/18/95	PCT	_____

Other Documents (including Author, Title, Date, Pertinent Pages, Etc.)

RRS	AW	J. Wolff et al, "Direct Gene Transfer into Mouse Muscle in Vivo", Science, 247:1465-1468 (March 23, 1990)
↓	AX	H. Blau et al, "Localization of Muscle Gene Products in Nuclear Domains: Does this Constitute a Problem for Myoblast Therapy?", Adv. Exp. Med. Biol., 280:167-172 (1990)
↓	AY	N. Muzyczka, "Use of Adeno-Associated Virus as a General Transduction Vector for Mammalian Cells", Curr. Topics Microbiol. Immunol., 158:97-129 (1992)
↓	AZ	R. Samulski et al, "Targeted Integration of Adeno-Associated Virus (AAV) into Human Chromosome 19", EMBO J., 10(12):3941-3950 (1991)
RRS	AAR	R. Kotin, "Prospects for the Use of Adeno-Associated Virus as a Vector for Human Gene Therapy", Human Gene Therapy, 5:793-801 (1994) [Kotin I]
Examiner	RRS	Date Considered 11/19/01

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.